

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

policants: Rudy Mazzocchi et al.

Attorney Docket: MVA1001USC6

Serial No.:

10/051,648

Group Art Unit: 3731

January 18, 2002

METHOD AND DEVICE FOR FILTERING BODY FLUED 1 0 2002

TECHNOLOGY CENTER R3700

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicants' duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

The Applicants and others substantively involved in the preparation of the application are aware of the documents, cited on the enclosed PTO-1449 form and have enclosed copies of the listed documents.

Certificate of Mailing/Transmission (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV008531089 and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

Signature:

Name: Jodi Ming

Information Disclosure Applicants: Rudy Mazzochi et al.

Serial No.: 10/051,648

Attorney Docket: MVA1001USC6

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Applicants bring to the Examiner's attention the following co-pending, commonly assigned applications.

1. Serial No. 08/748,066, filed 12 November 1996 (Ref. No. MVA1001USC1) Serial No. 10/051,565, filed 18 January 2002 (Ref. No. MVA1001USC2) Serial No. 10/051,492, filed 18 January 2002 (Ref. No. MVA1001USC3) Serial No. 10/051,591, filed 18 January 2002 (Ref. No. MVA1001USC4) Serial No. 10/051,537, filed 18 January 2002 (Ref. No. MVA1001USC5) Serial No. 10/060,272, filed 30 January 2002 (Ref. No. MVA1001USC7)

The specifications of the above applications are substantially identical to the specification of WO 96/01591, published 25 January 1996. Hence, only the claims for the above applications are enclosed with this Information Disclosure Statement.

- 2. Serial No. 10/060,271, filed 30 January 2002 (Ref. No. MVA1002USC1) Serial No. 10/084,263, filed 27 February 2002 (Ref. No. MVA1002USC2) The specifications of the above applications are substantially identical to the specification of WO 01/15629, published March 8, 2001. The claims for Serial No. 10/084,263 are also identical to those published in WO 01/15629. Only the claims for Serial No. 10/060,271, filed 30 January 2002, are enclosed herewith.
- 3. Serial No. 10/093,572, filed 8 March 2002 (Ref. No. MVA1003US). A copy of this specification is enclosed herewith.
- 4. Serial No. 09/824,910, filed 3 April 2001 (Ref. No. MVA1004USC1) Serial No. 10/060,854, filed 30 January 2002 (Ref. No. MVA1004USC2)

Information Disclosure ement

Applicants: Rudy Mazzochi et al.

Serial No.: 10/051,648

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The specifications of the above applications are substantially identical to the specification of U.S. Patent No. 6,325,815 (Kusleika et al.), and only the claims for the above applications are enclosed.

- 5. Serial No. 10/132,562 (Reference No. MVA1005US)

  A copy of this specification is enclosed herewith.
- 6. Serial No. 10/194,355 (Reference No. MVA1007US)

  A copy of this specification is enclosed herewith.
- 7. Serial No. 10/194,734 (Reference No. MVA1008US)

  A copy of this specification is enclosed herewith.
- 8. Serial No. 10/096,624 (Reference No. F&B)A copy of this specification is enclosed herewith.

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicants and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

Applicants reserve the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents cited on the PTO-1449 form is not prior art to the present invention.

Information Disclosure tement Applicants: Rudy Mazzochi et al.

Serial No.: 10/051,648

Attorney Docket: MVA1001USC6

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicants request that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO-1449 form (submitted in duplicate).

It is believed that no additional fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 9/4/02

By

Customer No. 009561

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Serial No.:

10/051,648

Group Art Unit: 3731

Filed:

January 18, 2002

For:

METHOD AND DEVICE FOR FILTERING BODY FLUID

### TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

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Dear Sir:

TECHNOLOGY CENTER R3700

Transmitted herewith are the following:

- 1. Supplemental Information Disclosure Statement (4 pages);
- 2. Form 1449 listing documents (1 page, in duplicate); and
- 3. Copy of each cited reference (25 documents).

Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2312. A duplicate copy of this Transmittal Letter is enclosed for that purpose.

Dated: 9/4/02

By

Mass 1/ 1/

Respectfully submitted,

Customer No. 009561

Terry V. Wiles, Esq. (29,989) Patrick J. O'Connell, Esq. (33,984)

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Date: \ 100+. 4 2002

Signature:

Name: Jodi Jung

Sheet	1	of	4
SHEEL	- 1	of	7

RM PTO-1449

#### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

	Sheet 1 of 1 .
ATTY. DOCKET NO.	SERIAL NO.
MVA1001USC6	10/051,648
APPLICANT	
Rudy Mazzocchi et al.	PINED
FILING DATE	GROUP RECEIVE
January 18, 2002	3731 CEP 1 0 2002

U.S. PATENT DOCUMENTS

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EXAMINER DOCUMENT NUMBER INITIAL				DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE							
	5	8	1	4	0	6	4	9/29/98	Daniel						
	6	3	2	5	8	1	5	12/04/01	Kusleika						
	6	3	7	1	9	7	1	04/16/02	Tsugita et al.						

## **FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
				<u> </u>	YES	NO	
WO 98/39053	9/11/98	PCT		1			
WO 99/23976	5/20/99	PCT					
WO 01/15629	3/08/01	PCT					
 WO 01/21100	3/29/01	PCT					
EP 820729	1/28/98	EPO					

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Patent Application Publication No. 2001/0012951 A1, 08/09/2001, Bates et al.
	Patent Application Publication No. 2001/0041908 A1, 11/15/2001, Levinson et al.
	Claims for S/N 08/748,066, filed 12 November 1996 (Ref. No. MVA1001USC1)
	Claims for S/N 10/051,565, filed 18 January 2002 (Ref. No. MVA1001USC2)
	Claims for S/N 10/051,492, filed 18 January 2002 (Ref. No. MVA1001USC3)
	Claims for S/N 10/051,591, filed 18 January 2002 (Ref. No. MVA1001USC4)
	Claims for S/N 10/051,537, filed 18 January 2002 (Ref. No. MVA1001USC5)
	Claims for S/N 10/060,272, filed 30 January 2002 (Ref. No. MVA1001USC7)
	Claims for S/N 10/060,271, filed 30 January 2002 (Ref. No. MVA1002USC1)
	Claims for S/N 09/824,910, filed 3 April 2001 (Ref. No. MVA1004USC1)
	Claims for S/N 10/060,854, filed 30 January 2002 (Ref. No. MVA1004USC2)
	Serial No. 10/093,572, filed 8 March 2002 (Ref. No. MVA1003US)
	Serial No. 10/132,562, filed 25 April 2002 (Ref. No. MVA1005US)
	Serial No. 10/194,355, filed July 12, 2002 (Ref. No. MVA1007US)
	Serial No. 10/194,734, filed July 12, 2002 (Ref. No. MVA1008US)
	Serial No. 10/096,624, filed 12 March 2002 (Ref. No. F&B file)
	English language abstract for DE2821048
EXAMINER	DATE CONSIDERED

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